

**ALABAMA ETHICS COMMISSION
MINUTES**

October 7, 2020

9:30 a.m.

**9th Floor, RSA Union Building
Montgomery, Alabama**

The Alabama Ethics Commission met on October 7, 2020, at 9:30 a.m. in the Public Service Commission Hearing Room on the 9th Floor of the RSA Union Building.

Present:

Honorable Beverlye Brady, Chair
Honorable John Plunk, Vice-Chair
Honorable Lyn Stuart, Ret. Chief Justice, Member
Honorable Stanton H. McDonald, Member
Honorable BG (R) Edward Crowell (USAF), Member
Thomas B. Albritton, Executive Director
Cynthia Propst Raulston, General Counsel
Brian Paterson, Assistant General Counsel
R. Christopher Clark, Chief Special Agent
Dustin Lansford, Senior Special Agent
Byron Butler, Senior Special Agent
Chris McCay, Special Agent
Brian Baker, Special Agent
Elizabeth Robison, Director of Finance and Administration
Jessica L. Grant, Administrative Support Assistant III
Austin Gilmore, Administrative Support Assistant I
Sara Frost, Administrative Support Assistant II

Absent:

Ms. Brady called the meeting to order at 9:34 a.m., and introduced Reverend Michael Cobb, who gave the invocation.

Old Business:

Approval of the minutes from the August 5, 2020 meeting:

Mr. Plunk moved to adopt the minutes as written. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

New Business:

Appoint a new Chair:

Chief Justice Stuart moved to appoint Ms. Brady as Chair for the Commission. Mr. Plunk seconded the motion. Motion passed with four ayes (Crowell, McDonald, Plunk, Stuart) and one abstention (Brady).

Appoint a new Vice Chairman:

Chief Justice Stuart moved to appoint Mr. Plunk as Vice Chair for the Commission. Mr. McDonald seconded the motion. Motion passed with four ayes (Brady, Crowell, McDonald, Stuart) and one abstention (Plunk).

Advisory Opinion No. 2020-04

Requested by Matthew McDonald, Jones Walker, Mobile, Alabama and Ryan Robichaux, Bradley Arant Boult Cummings, LLP, Birmingham, Alabama

Director Albritton explained the request for Advisory Opinion No. 2020-04. **Chief Justice Stuart moved to adopt Advisory Opinion No. 2020-04 as written. General Crowell seconded the motion. Motion passed unanimously with all those present voting.** Opinion No. 2020-04 holds that consistent with past administrative practice and until further clarification is provided, when an organization employs or hires a lobbyist, the administrative requirements of Ala. Code §§ 36-25-18, 19 are satisfied when: (1) The organization is listed as the principal and authorizes a lobbyist's registration, (2) A representative of the principal signs on behalf of the principal and (3) The organization files quarterly reports as the principal.

Advisory Opinion No. 2020-05

Requested by Christopher J. England, Chairman, Alabama Democratic Party, Montgomery, Alabama

Director Albritton explained the request for Advisory Opinion No. 2020-05. **Mr. Plunk moved to adopt Advisory Opinion No. 2020-05 as written. Motion failed for lack of second.** The questions presented in Opinion No. 2019-07 were: *is it permissible for a Federally-registered PAC that complies with all FEC regulations and Federal laws to contribute funds to the Party that are then deposited into the state accounts of the Party? And if the answer to the first question is yes, is there any applicable contribution limit under Alabama law?*

FCPA Appeals

Twinkle Address Cavanaugh

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Twinkle Cavanaugh were present to speak and answer any questions. **Chief Justice Stuart moved to set aside the March 2, 2020 penalty and offense. General Crowell seconded the motion. Motion passed unanimously with all those present voting.**

Citizens for a Better Muscle Shoals

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State was present to speak and answer any questions. **Mr. Plunk moved to set aside the August 3, 2020, August 10, 2020, and August 17, 2020 penalties and offenses. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.**

Covington County Democratic Party

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State; Peck Fox; and Steve Hubbard, Secretary, Covington County Democratic Party were present to speak and answer any questions. **Mr. McDonald moved to set aside the August 4, 2020 penalty and offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.**

Randall Dueitt

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State was present to speak and answer any questions. *Chief Justice Stuart moved to reduce the August 4, 2020 penalty to \$300.00 and set to the first offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.*

Jan McDowell Justice

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Jan Justice were present to speak and answer any questions. *Chief Justice Stuart moved to set aside the June 22, 2020, June 29, 2020, and July 16, 2020 penalties and offenses. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.*

Thomas Ladon McGaha

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Thomas McGaha were present to speak and answer any questions. *Mr. McDonald moved to set aside the June 22, 2020, June 29, 2020 and July 6, 2020 penalties and offenses. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.*

Tanika Neely

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Tanika Neely were present to speak and answer any questions. *Chief Justice Stuart moved to set aside the February 10, 2020, February 18, 2020, February 24, 2020, March 2, 2020, April 2, 2020, May 4, 2020, June 3, 2020, June 22, 2020, June 29, 2020, July 6, 2020, and July 13, 2020 penalties and offenses. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.*

Miriam Penhale

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State was present to speak and answer any questions. *Chief Justice Stuart moved to set aside the July 29, 2020 penalty and offense. General Crowell seconded the motion. Motion passed unanimously with all those present voting.*

Republican Party of Randolph County

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Sid Hare, Chairman, Republican Party of Randolph County were present to speak and answer any questions. *Chief Justice Stuart move to set aside the December 3, 2019, January 3, 2020, February 4, 2020, March 3, 2020, April 2, 2020, May 4, 2020, June 3, 2020, July 2, 2020, and August 4, 2020 penalties and offenses. General Crowell seconded the motion. Motion passed unanimously with all those present voting.*

Elizabeth House Saucer

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State was present to speak and answer any questions. *Failed for lack of motion.*

Sheet Metal Workers Local 411

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State was present to speak and answer any questions. *Failed for lack of motion.*

Bryan M. Taylor

Ms. Raulston explained the request for the Civil Penalty Appeal. Hugh Evans, General Counsel, Alabama Secretary of State and Bryan Taylor were present to speak and answer any questions. *Mr. McDonald moved to set aside the April 2, 2020, May 4, 2020, and June 3, 2020 penalties and offenses. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.*

At 11:10 a.m. Chief Justice Stuart moved that the Commission go into Executive Session. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

At 2:36 p.m. Mr. Plunk moved that the Commission go back into Open Session. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

Advisory Opinion No. 2020-05

Requested by Christopher J. England, Chairman, Alabama Democratic Party, Montgomery, Alabama

Director Albritton again explained the request for Advisory Opinion No. 2020-05 and requested additional consideration of the Advisory Opinion in light of its failure to receive a second to the Motion to Adopt. Attorney Peck Fox addressed the Commission on behalf of the requestor. Hugh Evans from the Office of the Secretary of State voiced support for the Advisory Opinion. Clark Morris and Ben Baxley from the Office of the Attorney General voiced opposition to the Advisory Opinion. After questioning those present and deliberation by the Commission. Mr. Plunk moved to adopt Advisory Opinion No. 2020-05 as written. Chief Justice Stuart seconded the motion. Motion passed with four ayes (Brady, Crowell, Plunk, Stuart) and one recusal (McDonald). Opinion No. 2020-05 holds that a federally-registered PAC that complies with all FEC regulations and Federal laws may not contribute funds to the Alabama Democratic Party, an Alabama PAC. Money donated for use in federal campaigning activity is not subject to the PAC-to-PAC ban because federal law pre-empts state law with respect to federal campaign activity.

Case No. 2020-084

Lanny Jones, Former Athletic Director, Elbert High School

General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Lanny Jones has committed one (1) minor violation of the Alabama Ethics Act. He further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-048

Patrick Branch, Former Litter Control Officer, Tuscaloosa County

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Patrick Branch has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-107

Daniel Glover, Former Sergeant/Investigator, Lawrence County Sheriff's Office

General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Daniel Glover has committed one (1) minor violation of the Alabama Ethics Act. He further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-184

Bradley Skipworth, Drug Task Force Officer, Colbert County Sheriff's Office

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Bradley Skipworth has committed one (1) minor violation of the Alabama Ethics Act. He further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the Attorney General of the State of Alabama. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-475

Ben Harrison, County Commissioner, Limestone County

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there currently exists insufficient facts to hold that Ben Harrison, has violated the Alabama Ethics Act, and further moved that this case be closed. General Crowell seconded the motion. Motion passed with three ayes (Brady, Stuart, Crowell) and two recusals (Plunk, McDonald).

Case No. 2019-510

Ben Harrison, County Commissioner, Limestone County

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there currently exists insufficient facts to hold that Ben Harrison, has violated the Alabama Ethics Act, and further moved that this case be closed. General Crowell seconded the motion. Motion passed with three ayes (Brady, Stuart, Crowell) and two recusals (Plunk, McDonald).

Case No. 2019-511

Ben Harrison, County Commissioner, Limestone County

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there currently exists insufficient facts to hold that Ben Harrison, has violated the Alabama Ethics Act, and further moved that this case be closed. General Crowell seconded the motion. Motion passed with three ayes (Brady, Stuart, Crowell) and two recusals (Plunk, McDonald).

Case No. 2019-497

Lynne Robbins, Former License Commissioner, Tuscaloosa County

General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Lynne Robbins has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the Attorney General of the State of Alabama. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-109

Caroline Swope, Employee, City of Decatur

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there currently exist insufficient facts to hold that Caroline Swope, has violated the Alabama Ethics Law, and further moved that this case be closed. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-464

Ora Gordon, Former Board Member, Lowndes County Water Authority

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Ora Gordon has committed one (1) minor violation of the Alabama Ethics Act. He further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-120

Stanley Wilson, Deputy, Lee County Sheriff's Office

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Stanley Wilson has committed one (1) minor violation of the Alabama Ethics Act. He further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the Attorney General of the State of Alabama. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-145

Kathleen Sheeley, Town Clerk, Town of Jackson's Gap

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Kathleen Sheeley has committed one (1) minor violation of the Alabama Ethics Act. She further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-146

Heather Glenn, Councilmember, Town of Jackson's Gap

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Heather Glenn has committed one (1) minor violation of the Alabama Ethics Act. She further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-148

Dana Ford, Councilmember, Town of Jackson's Gap

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Dana Ford has committed one (1) minor violation of the Alabama Ethics Act. She further moved that this case be handled administratively and that it be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-133

Jerry Jones, Mayor, Town of Cleveland

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Jerry Jones has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-234

Jerry Jones, Mayor, Town of Cleveland

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exists cause to hold that Jerry Jones has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-467

Roxanne Barden, Teacher, Hewitt-Trussville High School

General Crowell moved that based on the evidence as presented to this Commission, there currently exist insufficient facts to hold that Roxanne Barden, has violated the Alabama Ethics Law, and further moved that this case be closed. Mr. McDonald seconded the motion. Motion passed with four ayes (Brady, Crowell, McDonald, Plunk) and one nay (Stuart).

Case No. 2019-468

David Barden, Teacher, Hewitt-Trussville High School

General Crowell moved that based on the evidence as presented to this Commission, there currently exist insufficient facts to hold that David Barden, has violated the Alabama Ethics Law, and further moved that this case be closed. Mr. McDonald seconded the motion. Motion passed with four ayes (Brady, Crowell, McDonald, Plunk) and one nay (Stuart).

Case No. 2019-473

Amy Cope, Former Project Manager, University of Alabama at Birmingham Hospital Planning Department

General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Amy Cope has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-483

David Montgomery, Former Police Officer, City of Trussville

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that David Montgomery has violated the Alabama Ethics Law, and further moved that this case be referred for review and appropriate legal action to the Attorney General of the State of Alabama. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Cases Recommended for Dismissal

The following cases were presented to the Commission by Executive Director Albritton, with the recommendation that they be dismissed: Case No. 2019-456; Case No. 2019-458; Case No. 2019-487; Case No. 2019-499; Case No. 2020-082; Case No. 2020-131; Case No. 2020-132; Case No. 2020-147; Case No. 2020-149; Case No. 2020-150; Case No. 2020-151; Case No. 2020-152; and Case No. 2020-166. *Chief Justice Stuart moved that the Commission dismiss the cases as recommended. General Crowell seconded the motion. Motion passed unanimously with all those present voting.*

Case No. 2018-395

Ashley Ingram, Former Administrative Assistant II, Alabama Department of Conservation and Natural Resources

Chief Justice Stuart moved that on August 5, 2020, the Alabama Ethics Commission found that Ashley Ingram, Former Administrative Assistant II, Alabama Department of Conservation and Natural Resources, had committed one (1) minor violation of the Alabama Ethics Law. Ashley Ingram requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 6th Judicial Circuit. That request has been approved by Hays Webb, District Attorney, 6th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$50.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-252

Thomas Howard, Chairman, East Lauderdale Water & Fire Protection Authority

Mr. Plunk moved that on June 4, 2020, the Alabama Ethics Commission found that Thomas Howard, Chairman, East Lauderdale Water & Fire Protection Authority, had committed one (1) minor violation of the Alabama Ethics Law. Thomas Howard requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 11th Judicial Circuit. That request has been approved by Christopher Connolly, District Attorney, 11th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$1,000.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Ms. Brady seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2019-381

Krystal Ward, Former Administrative Assistant III, Alabama Department of Labor

Ms. Brady moved that on August 5, 2020, the Alabama Ethics Commission found that Krystal Ward, Former Administrative Assistant III, Alabama Department of Labor, had committed one (1) minor violation of the Alabama Ethics Law. Krystal Ward requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 15th Judicial Circuit. That request has been approved by Daryl Bailey, District Attorney, 15th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$50.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or

before October 21, 2020. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-023

Angeline Phillips, Child Nutrition Manager, Huntsville City Schools

Ms. Brady moved that on August 5, 2020, the Alabama Ethics Commission found that Angeline Phillips, Child Nutrition Manager, Huntsville City Schools, had committed one (1) minor violation of the Alabama Ethics Law. Angeline Phillips requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 23rd Judicial Circuit. That request has been approved by Robert Broussard, District Attorney of the 23rd Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$25.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-034

Tommy Freeman, Road Crew Member, Conecuh County District 1

Ms. Brady moved that on August 5, 2020, the Alabama Ethics Commission found that Tommy Freeman, Road Crew Member, Conecuh County District 1, had committed one (1) minor violation of the Alabama Ethics Law. Tommy Freeman requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 35th Judicial Circuit. That request has been approved by Stephen Wadlington, District Attorney of the 35th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$50.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-047

Timothy Holcomb, Captain, Alabama Department of Corrections

Mr. McDonald moved that on August 5, 2020, the Alabama Ethics Commission found that Timothy Holcomb, Captain, Alabama Department of Corrections, had committed one (1) minor violation of the Alabama Ethics Law. Timothy Holcomb requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 25th Judicial Circuit. That request has been approved by Scott Slatton, District Attorney of the 25th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$50.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-051

Amanda Johnson, Child Nutrition, Blountsville Elementary

Mr. McDonald moved that on August 5, 2020, the Alabama Ethics Commission found that Amanda

Johnson, Child Nutrition, Blountsville Elementary, had committed one (1) minor violation of the Alabama Ethics Law. Amanda Johnson requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 41st Judicial Circuit. That request has been approved by Pamela Casey, District Attorney of the 41st Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$200.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-073

Delores Clark, Child Nutrition Manager, Cullman County Schools

Ms. Brady moved that on August 5, 2020, the Alabama Ethics Commission found that Delores Clark, Child Nutrition Manager, Cullman County Schools, had committed one (1) minor violation of the Alabama Ethics Law. Delores Clark requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 32nd Judicial Circuit. That request has been approved by Wilson Blaylock, District Attorney of the 32nd Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$500.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-074

Vickie Wood, Child Nutrition Worker, Cullman County Schools

Ms. Brady moved that on August 5, 2020, the Alabama Ethics Commission found that Vickie Wood Child Nutrition Worker, Cullman County Schools, had committed one (1) minor violation of the Alabama Ethics Law. Vickie Wood requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 32nd Judicial Circuit. That request has been approved by Wilson Blaylock, District Attorney of the 32nd Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the amount of \$200.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2020-129

Christy Fordham, Director, Randolph-Roanoke Vocational Center

Mr. McDonald moved that on August 5, 2020, the Alabama Ethics Commission found that Christy Fordham, Director, Randolph-Roanoke Vocational Center, had committed one (1) minor violation of the Alabama Ethics Law. Christy Fordham requested an administrative resolution on that date. The Commission unanimously approved an administrative resolution of the case and forwarded to the District Attorney of the 5th Judicial Circuit. That request has been approved by Jeremy Duerr, District Attorney of the 5th Judicial Circuit. Accordingly, I hereby move that the request be granted and that this matter be handled administratively as follows: 1) that an administrative penalty in the

amount of \$200.00 is ordered by the Commission; and 2) that the administrative penalty be paid to the Ethics Commission by cashier's check, money order or online payment on or before October 21, 2020. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

Penalty Imposition Against 2019 Statement of Economic Interests Non-Filers from the Municipal Level of Government

The Commissioners had been provided with a list of names of individuals at the municipal level of government who had not filed their Statement of Economic Interests after having been notified by the Commission of the Code provisions concerning non-filers. *Mr. McDonald moved that the Commission approve/authorize the staff to proceed with assessing penalties against the individuals named in the lists provided to the Commission beginning October 29, 2020. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.*

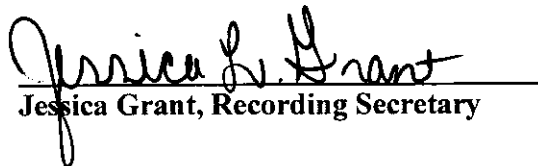
Adjournment

There being no further business, at 3:24 p.m. General Crowell moved that the meeting be adjourned, and Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

The next regularly scheduled meeting of the Commission is December 2, 2020.


Beverlye Brady, Chair


Thomas B. Albritton, Executive Director


Jessica Grant, Recording Secretary