ALABAMA ETHICS COMMISSION MINUTES

October 4, 2023 9:30 a.m. 9th Floor, RSA Union Building Montgomery, Alabama

The Alabama Ethics Commission met on October 4, 2023, at 9:30 a.m. in the Public Service Commission Hearing Room on the 9th Floor of the RSA Union Building.

Commission Members Present:

Commission Members Absent:

Honorable John Plunk, Chair Honorable Lyn Stuart, Ret. Chief Justice, Vice-Chair Honorable Stanton H. McDonald, Member Honorable BG (R) Edward Crowell (USAF), Member

Commission Staff Present:

Thomas B. Albritton, Executive Director
Brian Paterson, Assistant General Counsel
Cynthia Raulston, Special Assistant to the Director
Byron Butler, Executive Assistant to the Director
Chris Clark, Chief Special Agent
Dustin Lansford, Senior Special Agent
Chris McCay, Senior Special Agent
Brian Baker, Special Agent
Dylan Baker, Special Agent
April Innes, Special Investigator
Kayla Pfeil, Lobbyist/Principal Liaison

Mr. Plunk served as chair for the meeting, called the meeting to order at 9:36 a.m. and introduced Father Duncan Johnston of St. John's Episcopal Church, Montgomery, Alabama, who gave the invocation.

Old Business:

Approval of the minutes from the August 2, 2023, meeting:

General Crowell moved to adopt the minutes as written. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

New Business:

FCPA Appeals

Libertarian Party of Alabama

Mr. Paterson explained the request for the Civil Penalty Appeal. Mike Jones, General Counsel, Alabama Secretary of State was present to speak and answer any questions. Attorney Bill Espy spoke on behalf

of the Libertarian Party of Alabama. Chief Justice Stuart moved to uphold two penalties and offenses that were imposed on June 12, 2023, and set aside the twelve penalties and offenses. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Faith Family Freedom Coalition Metro Mobile PAC

Mr. Paterson explained the request for the Civil Penalty Appeal. Mike Jones, General Counsel, Alabama Secretary of State was present to speak and answer any questions. Dr. Martin Cantino, President spoke on behalf of the Faith Family Freedom Coalition Metro Mobile PAC. Mr. McDonald moved to set aside the penalties and offenses. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Robert Lewis Najor

Mr. Paterson explained the request for the Civil Penalty Appeal. Mike Jones, General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the penalties and offenses. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

At 9:49 a.m. General Crowell moved that the Commission go into Executive Session. Chief Justice Stuart seconded the motion. Motion passed unanimously with all those present voting.

At 11:02 a.m. General Crowell moved that the Commission go back into Open Session. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2023-0007

Nikki Donald, (Former) Employee, University of South Alabama

Chief Justice Stuart moved that based on the evidence as presented to this Commission, there exist cause to hold that Nikki Donald has committed a minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. McDonald seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0079

Aretha Butler, Personnel Assistant, Eufaula City Schools

General Crowell moved that based on the evidence as presented to this Commission, there exist cause to hold that Aretha Butler has committed a minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0182

Tiffany Jemison, Sales Associate I, Alabama Alcoholic Beverage Control Board

General Crowell moved that based on the evidence as presented to this Commission, there exists insufficient facts to hold that Tiffany Jemison, has violated the Alabama Ethics Act. He further moved that this case be closed. Mr. McDonald seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0088

Jason Barnett, (Former) Teacher, Football Coach and Athletic Director, Citronelle High School Mr. McDonald moved that based on the evidence as presented to this Commission, there exists insufficient facts to hold that Jason Barnett, has violated the Alabama Ethics Act. He further moved that this case be closed. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0089

Whitney Barnett, (Former) Teacher and Cheer Coach, Citronelle High School

Mr. McDonald moved that based on the evidence as presented to this Commission, there exists insufficient facts to hold that Whitney Barnett, has violated the Alabama Ethics Act. He further moved that this case be closed. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0439

Nicholas Richardson, Employee, Alabama Forestry Commission

Chief Justice Stuart moved that based on the evidence as presented to this Commission, probable cause exists that Nicholas Richardson violated the Alabama Ethics Act, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0168

Erica Bates, Teacher, Phenix City Board of Education

Mr. McDonald moved that based on the evidence as presented to this Commission, there exists cause to hold that Erica Bates has committed a minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0176

Tacorra Minefield, ASA II, Alabama Board of Pardons and Paroles

General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Tacorra Minefield has committed a minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

Cases Recommended for Dismissal

The following cases were presented to the Commission by Executive Director Albritton, with the recommendation that they be dismissed: Case No. 2022-0401; Case No. 2022-0420; Case No. 2023-0166 and Case No. 2023-0255. Chief Justice Stuart moved that the Commission dismiss the cases as recommended. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0214

Luther Winn, Board Member, Greene County Industrial Development Authority

General Crowell moved that on June 7, 2023, the Alabama Ethics Commission unanimously found Luther Winn, Board Member, Greene County Industrial Development Authority, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 17th Judicial Circuit who approved the administrative resolution on or before August 10, 2023. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$250.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before November 22, 2023; 2) There is no restitution owing for this minor violation. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

General Crowell moved that the Commission accept the consent decree pertaining to Case No. 2022-0214. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0038

Dontae Bailey, (Former) Corrections Officer, City of Foley

Chief Justice Stuart moved that on <u>August 2, 202</u>3, the Alabama Ethics Commission unanimously found Dontae Bailey, (Former) Corrections Officer, City of Foley, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 28th Judicial Circuit who approved the administrative resolution on or before August 14, 2023. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$100.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before November 22, 2023; 2) There is no restitution owing for this minor violation. Mr. McDonald seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0053

Victor Sims, (Former) Police Officer, City of Homewood Police Department

General Crowell moved that on <u>August 2, 2023</u>, the Alabama Ethics Commission unanimously found Victor Sims, (Former) Police Officer, City of Homewood Police Department, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 10th Judicial Circuit who approved the administrative resolution on or before August 14, 2023. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$50.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before November 22, 2023; 2) There is no restitution owing for this minor violation. Mr. McDonald seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2023-0145

Oliver Youngblood, Social Services Case Worker I, Macon County Department of Human Resources Chief Justice Stuart moved that on <u>August 2, 2023</u>, the Alabama Ethics Commission unanimously found Oliver Youngblood, Social Services Case Worker I, Macon County Department of Human Resources, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to

the District Attorney of the 5th Judicial Circuit who approved the administrative resolution on or before September 25, 2023. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$100.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before November 22, 2023; 2) There is no restitution owing for this minor violation. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Other Matters

Case No. 2021-0408

Keiona Rogers, Former Social Worker, Montgomery County Department of Human Resources Chief Justice Stuart moved that on June 8, 2022, the Alabama Ethics Commission unanimously found Keiona Rogers, Former Social Worker, Montgomery County Department of Human Resources, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 15th Judicial Circuit who approved the administrative resolution on January 30, 2022. Accordingly, I hereby move as follows: On April 5, 2023, an administrative penalty in the amount of \$200.00 was ordered by the Commission. The Commission hereby approves a payment plan with monthly installments in the amount of \$25.00 on the remaining balance of \$135.00, to be paid to the Ethics Commission by cashier's check, money order, or online payment to be paid in full no later than July 24, 2024. Mr. McDonald seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0391

Gladys Thomas, Financial Services Coordinator, Alabama Industrial Development Training Institute General Crowell moved that on October 5, 2022, the Alabama Ethics Commission unanimously found Gladys Thomas, Financial Services Coordinator, Alabama Industrial Development Training Institute, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 15th Judicial Circuit who approved the administrative resolution on or before October 20, 2022. Accordingly, I hereby move as follows: On December 9, 2022, an administrative penalty in the amount of \$250.00 was ordered by the Commission. The Commission hereby approves a payment plan with monthly installments in the amount of \$50.00 on the remaining balance of \$200.00, to be paid to the Ethics Commission by cashier's check, money order, or online payment to be paid in full no later than July 24, 2024. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

Adjournment

There being no further business at 11:18 a.m., General Crowell moved that the meeting be adjourned, and Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

The next regularly scheduled meeting of the Commission is Wednesday, December 6, 2023, at 9:30 a.m. in the Purchasing Auditorium

John Plunk, Chair

Thomas B. Albritton, Executive Director

Byron Butler, Recording Secretary