



This Form May Be Completed Online at [www.ethics.alabama.gov](http://www.ethics.alabama.gov)

ALABAMA ETHICS COMMISSION - 334.242.2997

100 N. Union Street, Suite 104 (RSA Union) 36104 - P O Box 302300, Montgomery, AL 36130-2300

### STATEMENT OF ECONOMIC INTERESTS

Instructions are available on our website.

FOR 2021 CALENDAR YEAR-TO BE FILED NO LATER THAN April 30, 2022, EXCEPT FOR CANDIDATES, who must file with the Ethics Commission not more than five days after qualifying papers are filed as required by Section 36-25-15, Code of Alabama, 1975.

#### CANDIDATE INFORMATION

Are you a Candidate for public office?                     YES                     NO

For which agency type are you a Candidate?                     State                     County                     Municipality

Which agency? \_\_\_\_\_

1. Full Name, Home Address and Telephone Number of Filing Person:

LAST	FIRST	MIDDLE	SUFFIX	E-MAIL ADDRESS
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STREET (NO PO BOXES)	CITY	ZIP	COUNTY	BUSINESS PHONE
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2. Last year, I was an  elected official  appointed official  employee (CHECK ALL THAT APPLY) with the  State  County  Municipality

THE NAME of my agency/agencies was \_\_\_\_\_

3. Did you work for compensation in any position other than your public position?  YES  NO  
If yes, was more than 1/3 of your working time spent in that position?  YES  NO  
If yes, list the occupation(s) \_\_\_\_\_

4. Did your spouse work for compensation in any position?  YES  NO  
If yes, was more than 1/3 of your working time spent in that position?  YES  NO  
If yes, list the occupation(s) \_\_\_\_\_

5. What is your total household income?  \$10,000 to \$49,999  \$50,000 to \$149,999  \$150,000 to \$249,999  More than \$250,000

**6. INFORMATION ON FAMILY MEMBERS**

\_\_\_\_\_  
**SPOUSE** - Name, Address, Employer or Business Name

\_\_\_\_\_  
**DEPENDENTS** - Name(s), Address and Any Employment

\_\_\_\_\_  
**LIVING ADULT CHILDREN** - Name(s) Only

\_\_\_\_\_  
**PARENTS** (Living and Deceased) - Name(s) Only

\_\_\_\_\_  
**LIVING SIBLINGS** - Name(s) Only

\_\_\_\_\_  
**LIVING PARENTS OF SPOUSE** - Name(s) Only

**7. OTHER INCOME INFORMATION FOR YOU, YOUR SPOUSE AND DEPENDENT CHILDREN**

<b>LIST EVERY BUSINESS WHERE YOU OR YOUR SPOUSE RECEIVED INCOME in Salary, Fees, Dividends, Profits, Commissions, Bank Interest, or Other Compensation from any private business</b>	<b>Check Appropriate Box</b>					
<b>NAME OF BUSINESS</b>	<b>Less than \$1,000</b>	<b>\$1,000 to \$9,999</b>	<b>\$10,000 to \$49,999</b>	<b>\$50,000 to \$149,999</b>	<b>\$150,000 to \$249,999</b>	<b>More than \$250,000</b>
1						
2						
3						
4						
5						
6						

8. **Last year**, did you, your spouse, or dependents, individually or combined, own 5% or more of the stock in a business? \_\_\_\_ YES \_\_\_\_ NO

If so, what is the name of the business(es)? \_\_\_\_\_

9. **Last year**, did you, your spouse, or dependents serve as an officer, director, trustee, or consultant in a business? \_\_\_\_ YES \_\_\_\_ NO  
 Did that service result in income of \$1,000 or more? \_\_\_\_ YES \_\_\_\_ NO  
 If so, provide the name of the business(es) \_\_\_\_\_  
                                   \_\_\_\_\_ \$1,000 to \$4,999  
                                   \_\_\_\_\_ \$5,000 or more

10. **INDEBTEDNESS INFORMATION:** Report debts owed to all businesses operating in Alabama\*\* as of December 31<sup>st</sup> of the reporting year. \*\*Doing Business in Alabama, regardless of where the home office is located or where you mail your payment.  
**DO NOT INCLUDE** indebtedness associated with **HOMESTEAD** - the home in which you live.

**DO NOT list Debtor's Names or Accounts Numbers.**

INDEBTNESS TYPE	How MANY do you OWE?	INDEBTNESS TYPE	How MANY do you OWE?
BANKS (Include Credit Cards)		STOCKBROKERS or BOND FIRMS	
CREDIT UNIONS and SAVINGS and LOAN ASSOCIATIONS (Include Credit Cards)		OTHER BUSINESSES Include Store Credit Cards	
INSURANCE COMPANIES		STUDENT LOANS	
MORTGAGE FIRMS			

What is the COMBINED AMOUNT of indebtedness to all of the above? Please check one.

\_\_\_ Less than \$25,000 \_\_\_ \$25,000 to \$49,999 \_\_\_ \$50,000 to \$99,999 \_\_\_ \$100,000 to \$149,999 \_\_\_ \$150,000 to \$249,000 \_\_\_ \$250,000 or more

11. **PROFESSIONAL OR CONSULTING SERVICES:** Complete this Section ONLY if YOU or YOUR SPOUSE engaged in a business that provides any of the following services:

Legal	Medical or health related
Real estate	Banking
Insurance	Educational
Farming	Engineering
Architectural management	Other professional services or consultations

**Check if No Income was received for Professional or Consulting Services for the Categories of Clients shown below**

**State the NUMBER OF CLIENTS and CHECK Corresponding Income and/or Retainer Income**

Categories of Clients	Number of Clients	Annual Gross Income During Reporting Year								Anticipated Annual Retainer Income		
		Less than \$1,000	\$1,000 to \$10,000	\$10,000 to \$25,000	\$25,000 to \$50,000	\$50,000 to \$100,000	\$100,000 to \$150,000	\$150,000 to \$250,000	More than \$250,000	Less than \$1,000	\$1,000 to \$5,000	More than \$5,000
<b>UTILITIES</b>												
Electric												
Gas												
Telephone												
Water												
Cable Television Companies												
<b>TRANSPORTATION</b>												
Intrastate Companies												
Pipeline Companies												
Oil Exploration												
Gas Exploration												
Oil and Gas Retailers												

Categories of Clients	Number of Clients	Annual Gross Income During Reporting Year								Anticipated Annual Retainer Income		
		Less than \$1,000	\$1,000 to \$10,000	\$10,000 to \$25,000	\$25,000 to \$50,000	\$50,000 to \$100,000	\$100,000 to \$150,000	\$150,000 to \$250,000	More than \$250,000	Less than \$1,000	\$1,000 to \$5,000	More than \$5,000
<b>FINANCE &amp; INSURANCE</b>												
Banks												
Savings & Loan Associations												
Loan or Finance Companies												
Manufacturing Firms												
Mining Companies												
Life Insurance Companies												
Casualty Insurance Co.												
Other Insurance Companies												
Retail Companies												
Beer Companies												
Wine Companies												
Liquor Companies												
Beverage Distributors												
<b>ASSOCIATIONS</b>												
Trade												
Professional												
Governmental												
Public Employee												
Public Official												

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		Less than \$1,000	\$1,000 to \$10,000	\$10,000 to \$25,000	\$25,000 to \$50,000	\$50,000 to \$100,000	\$100,000 to \$150,000	\$150,000 to \$250,000	More than \$250,000	Less than \$1,000	\$1,000 to \$5,000	More than \$5,000
<b>GOVERNMENT</b>												
State												
County												
Municipal												
Other Government Corporations or Authorities												

**12. REAL ESTATE HOLDINGS - DO NOT INCLUDE HOMESTEAD**

**\*\*\*TO BE COMPLETED ONLY BY ELECTED OFFICIALS, APPOINTED OFFICIALS AND ALL CANDIDATES\*\*\***

Did YOU, YOUR SPOUSE or DEPENDENTS own real estate for investment or revenue production last year?

\_\_\_\_\_NO      \_\_\_\_\_YES      **If YES, list each property below and provide requested information.**

Location of Real Estate	What is the Fair Market Value?					What is the Annual Gross Rent/Lease Income		
	City, County, State	Less than \$50,000	\$50,000 to \$99,999	\$100,000 to \$149,999	\$150,000 to \$249,999	More than \$250,000	Less than \$10,000	\$10,000 but less than \$50,000

Add additional sheets as necessary

13. If you are **PUBLIC OFFICIAL**, did **YOU** or **A BUSINESS WITH WHICH YOU ARE ASSOCIATED** receive rent or lease income from **ANY GOVERNMENTAL AGENCY IN ALABAMA** last year?

\_\_\_\_\_NO

\_\_\_\_\_YES

**If YES, specific details of the lease or rent agreement shall be filed with the Alabama Ethics Commission.**

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### DECLARATION OF REPORTING PERSON

I have read and completed this Statement of Economic Interests Form, and do swear (or affirm) that the information contained in said Statement of Economic Interests is true and correct. I fully understand that anyone who violates the disclosure provision of this Act shall be subject to a fine of \$10.00 per day, up to \$1,000 annually. I also understand that any attachments that I place with this form become a part of this public record.

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Signature of Reporting Person

Date

PRINTED NAME of Reporting Person

**\*\*\*Forms Received by FAX or Email will NOT be Accepted\*\*\***

RETURN COMPLETED, ORIGINAL SIGNED FORM TO:

**Alabama Ethics Commission**

RSA Union - Suite 104

P O Box 302300

100 N Union Street, Suite 104

Montgomery, AL 36130-2300

Montgomery, AL 36104

Revised December 2021

