**This Form May Be Completed On-line at www.ethics.alabama.gov**

_____CANDIDATE___YES___NO FOR OFFICE IN ___CITY___COUNTY___STATE
(Year) If you are a candidate, complete this section and see last page of instructions. If you are not a candidate, check "no" and continue.

# STATEMENT OF ECONOMIC INTERESTS

**FOR 2012 CALENDAR YEAR - TO BE FILED NO LATER THAN April 30, 2013, EXCEPT FOR CANDIDATES, who must file simultaneously with their qualifying forms as required by Section 36-25-15, Code of Alabama 1975.**

## Alabama Ethics Commission

| Street Address | Mailing Address | |
|---|---|---|
| 100 North Union Street, Suite 104 | | |
| (RSA Union Building) | P. O. Box 302300 | Telephone |
| Montgomery, Alabama 36104 | Montgomery, AL 36130-2300 | 334-242-2997 |

**PLEASE READ INSTRUCTIONS BEFORE ATTEMPTING TO COMPLETE FORM.**

01. Full Name, Home Address, and Telephone Number(s) of Filing Person:

_____
(Last) (First) (Middle) (Suffix) (Nickname)

_____
(Street) (Route) (P.O. Box) (City) (Zip) (County) (Business Phone)

IF name changed within past year, please indicate former name:
NAME:_____
(Last) (First) (Middle)

**PLEASE FILL IN THE BLANKS OR CIRCLE THE CORRECT WORD(S) OR NUMBER(S) AS APPROPRIATE**

02. ***Last year***, I was an (**elected official**) (**appointed official**) (**employee**) with the (**Municipality**) (**County**) (**State**) and the **name** and **address** of my(department)(office)(agency)(board)(college)(county)(municipality)(commission)was_____
_____

02.1 As an elected/appointed official/employee ***last year***, my job title/position was_____

02.2 ***Last year***, the name(s) of the (**State**) (**County**) (**Municipal)** Board(s), Commission(s), Committee(s), Authority(ies), Council(s), etc. of which I was a Member was(were)_____

02.3 ***Last year*** in the above public position(s) in 02. thru 02.2 I earned: ($0-$1,000) ($1,000-$10,000) (More than $10,000).

03. I am a ***candidate*** for the (State) (County) or (Municipal) Office of_____

04. **Other than my public position(s) in 02. thru 02.2**, my and/or my spouse's occupation(s) or business(es) ***last year*** **to which <u>1/3 or more of working time</u>** was spent (including self-employment) was (were) _____

_____

04.1 The **<u>name</u>** and **<u>address</u>** of my employer, listed in 04. above, ***last year*** was_____

04.2 I was self-employed last year and the **<u>name</u>** and **<u>address</u>** of my business ***last year*** was_____

_____

04.3 Information on Family Members: SPOUSE'S Name, address, and business or employer

_____

DEPENDENT CHILDREN Name, address, and any employment_____

_____

Names Only of LIVING ADULT CHILDREN

_____

Names Only of LIVING PARENTS

_____

Names Only of LIVING SISTERS/BROTHERS

_____

Names Only of LIVING PARENTS OF SPOUSE

_____

05. ***Last year***, **<u>from the occupations or businesses listed in 04</u>**., I, my spouse and dependents earned an aggregate of:
($0-$1,000) ($1,000-$10,000) (More than $10,000).

05.1. ***Last year***, (I) (My spouse) (dependents) owned 5% or more of the stock in the firm(s) listed in **04.1, 04.2**

_____

05.2 ***Last year***, (I) (My spouse) was a consultant and earned more than $1,000 from each firm listed in **04.1, 04.2**

_____

05.3 ***Last year***, (I) (My spouse) served as an (Officer) (Director) (Trustee) of the firm(s) listed in **04.1, 04.2**

_____

## 06. OTHER INCOME INFORMATION ON YOU, YOUR SPOUSE AND DEPENDENT CHILDREN

| **List total combined household income, in addition to what is listed in 02.3 thru 05., the names of each business income is derived from, and the income from each business.** | **Write in type of Income Received**: **Salaries, Fees, Dividends, Profits, Commissions, Other Compensation** (including interest on bank accounts) | **Check Appropriate Box** | | | | | |
|---|---|---|---|---|---|---|---|
| | | **Less than $1,000** | **$1,000 to $10,000** | **$10,000 to $50,000** | **$50,000 to $150,000** | **$150,000 to $250,000** | **More than $250,000** |
| **06.1** | | | | | | | |
| **06.2** | | | | | | | |
| **06.3** | | | | | | | |

**06.4** ***Last year*** **did you earn more than $5,000 as an:** **Officer** **Director** **Trustee** **Consultant** **N/A**
**(Circle the applicable one and explain, if necessary.)**

**06.5** ***Last year*** **did you earn more than $1,000 but less than $5,000 as an:** **Officer** **Director** **Trustee** **Consultant** **N/A**
**(Circle the applicable one and explain, if necessary.)**

**06.6** ***Last year,*** **did YOU, YOUR SPOUSE or DEPENDENTS serve as:** **Officer** **Director** **Trustee** **Consultant** **N/A**
**(Circle the applicable one and explain, if necessary.)**

**06.7 Name any business or subsidiary thereof in which you, your spouse, or dependents, jointly or severally, owned 5% or more of the stock or in which you, your spouse or dependents served as an officer, director, trustee, or consultant where the service provides income of at least $1,000 and less than $5,000; or at least $5,000 or more for the reporting period.**

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07. **REAL ESTATE HOLDINGS (Exclude your Homestead) (TO BE COMPLETED ONLY BY ELECTED OFFICIALS, APPOINTED OFFICIALS or CANDIDATES for State, County or Municipal Offices.)**

07.1 **Did YOU, YOUR SPOUSE OR DEPENDENTS own real estate for investment or revenue production last year?**
**_____No _____Yes**
**If yes, list each piece of real estate WHEREVER SITUATED separately below and provide the requested information.**

07.2 **Did YOU, YOUR SPOUSE, DEPENDENTS or A BUSINESS WITH WHICH YOU ARE ASSOCIATED receive any rent or lease income from any governmental agency in Alabama last year?**
**_____No _____Yes**
**If yes, specific details of the lease or rent agreement shall be filed with the Ethics Commission.**

**(CHECK APPROPRIATE BOXES and ADD ADDITIONAL SHEETS AS NECESSARY.)**

| Location of Real Estate (City, County and State) | What is the fair market value? | | | | | What is the annual gross rent or lease income? | | |
|---|---|---|---|---|---|---|---|---|
| | Less than $50,000 | $50,000 to $100,000 | $100,000 to $150,000 | $150,000 to $250,000 | More than $250,000 | Less than $10,000 | $10,000 but less than $50,000 | $50,000 or more |
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**08. INDEBTEDNESS INFORMATION: Report debts owed to all businesses operating in Alabama*as of December 31 of the reporting year, EXCEPT indebtedness associated with the (homestead) home in which you live. Include debts of YOUR SPOUSE and DEPENDENT CHILDREN. (*Doing business in Alabama, regardless of where their home office is located or where you mail your payment.)**

**Provide actual NUMBER and CHECK APPROPRIATE CATEGORY– it is not necessary to include name of card(s), business(es), account number(s), or actual amount owed. Check appropriate boxes below.**

| TYPE | How many do you OWE? NUMBER | How much do you OWE? (Check box that relates to the combined total in each category) | | | | | |
|---|---|---|---|---|---|---|---|
| | | Less than $25,000 | $25,000 to $50,000 | $50,000 to $100,000 | $100,000 to $150,000 | $150,000 to $250,000 | More than $250,000 |
| 08.1 Banks (include Credit Cards) | | | | | | | |
| 08.2 Credit Unions and Savings and Loan Associations (include Credit Cards) | | | | | | | |
| 08.3 Insurance Companies | | | | | | | |
| 08.4 Mortgage Firms | | | | | | | |
| 08.5 Stockbrokers or Bond Firms | | | | | | | |
| 08.6 Individuals or other businesses (include store cards) | | | | | | | |

**09.** ***PROFESSIONAL OR CONSULTING SERVICES*** **(To be completed if YOU or YOUR SPOUSE received income last year in return for professional or consulting activities,** i.e. legal**,** accounting, medical or health-related, real estate, banking, insurance, educational, farming, engineering, architectural management, or other professional services or consultations, etc. **State the number of clients and check appropriate boxes.)**

❑ **Check if no income was received for Professional or Consulting Services for the categories of Clients shown below.**

| Categories of Clients | Number of Clients | Annual Gross Income During Reporting Year | | | | | | | | Anticipated Annual Retainer Income | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Less than $1,000 | $1,000 to $10,000 | $10,000 to $25,000 | $25,000 to $50,000 | $50,000 to $100,000 | $100,000 to $150,000 | $150,000 to $250,000 | More than $250,000 | Less than $1,000 | $1,000 to $5,000 | More than $5,000 |
| **09.1 UTILITIES** | | | | | | | | | | | | |
| **Electric** | | | | | | | | | | | | |
| **Gas** | | | | | | | | | | | | |
| **Telephone** | | | | | | | | | | | | |
| **Water** | | | | | | | | | | | | |
| **Cable Television Companies** | | | | | | | | | | | | |
| **09.2 TRANSPORTATION** | | | | | | | | | | | | |
| **Intrastate Companies** | | | | | | | | | | | | |
| **Pipeline Companies** | | | | | | | | | | | | |

| Categories of Clients | Number of Clients | Annual Gross Income During Reporting Year | | | | | | | | Anticipated Annual Retainer Income | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Less than $1,000 | $1,000 to $10,000 | $10,000 to $25,000 | $25,000 to $50,000 | $50,000 to $100,000 | $100,000 to $150,000 | $150,000 to $250,000 | More than $250,000 | Less than $1,000 | $1,000 to $5,000 | More than $5,000 |
| **Oil Exploration** | | | | | | | | | | | | |
| **Gas Exploration** | | | | | | | | | | | | |
| **Oil and Gas Retailers** | | | | | | | | | | | | |
| **09.3 FINANCE & INSURANCE** | | | | | | | | | | | | |
| **Banks** | | | | | | | | | | | | |
| **Savings & Loan Associations** | | | | | | | | | | | | |
| **Loan and/or Finance Companies** | | | | | | | | | | | | |
| **Manufacturing Firms** | | | | | | | | | | | | |
| **Mining Companies** | | | | | | | | | | | | |
| **Life Insurance Companies** | | | | | | | | | | | | |
| **Casualty Insurance Companies** | | | | | | | | | | | | |
| **Other Insurance Companies** | | | | | | | | | | | | |

| Categories of Clients | Number of Clients | Annual Gross Income During Reporting Year | | | | | | | | Anticipated Annual Retainer Income | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Less than $1,000 | $1,000 to $10,000 | $10,000 to $25,000 | $25,000 to $50,000 | $50,000 to $100,000 | $100,000 to $150,000 | $150,000 to $250,000 | More than $250,000 | Less than $1,000 | $1,000 to $5,000 | More than $5,000 |
| **Retail Companies** | | | | | | | | | | | | |
| **Beer Companies** | | | | | | | | | | | | |
| **Wine Companies** | | | | | | | | | | | | |
| **Liquor Companies** | | | | | | | | | | | | |
| **Beverage Distributors** | | | | | | | | | | | | |
| **09.4 ASSOCIATIONS** | | | | | | | | | | | | |
| **Trade** | | | | | | | | | | | | |
| **Professional** | | | | | | | | | | | | |
| **Governmental** | | | | | | | | | | | | |
| **Public Employee** | | | | | | | | | | | | |
| **Public Official** | | | | | | | | | | | | |
| **09.5 GOVERNMENT** | | | | | | | | | | | | |
| **State** | | | | | | | | | | | | |
| **County** | | | | | | | | | | | | |
| **Municipal** | | | | | | | | | | | | |
| **Other Government Corp. Or Authorities** | | | | | | | | | | | | |
| **09.6 MISCELLANEOUS** | | | | | | | | | | | | |

## 10. DECLARATION OF REPORTING PERSON

**I have read and completed this Statement of Economic Interests, Form ASEC-1(Revised), and do swear (or affirm) that the information contained in said Statement of Economic Interests is true and correct. I fully understand that anyone who violates the disclosure provision of this Act shall be subject to a fine of $10.00 a day not to exceed $1,000 annually. I also understand that any attachments that I place with this form become a part of this public record.**

**SIGNED**_____ **Date**_____

**(Signature of Reporting Person)**

**PLEASE PRINT/TYPE NAME OF PERSON SIGNING FORM** _____

******Forms received by FAX or Email will NOT be accepted.******

***RETURN COMPLETED ORIGINAL SIGNED FORM TO*:**

**Alabama Ethics Commission**

**STREET ADDRESS**
**RSA Union, Suite 104**
**100 North Union Street**
**Montgomery, AL 36104**

**MAILING ADDRESS**
**P.O. Box 302300**
**Montgomery, AL 36130-2300**